



RECEIVED

OCT 08 2003

Technology Center 2100

PATENT
Attorney Docket No. CTX-070
(1545/123)

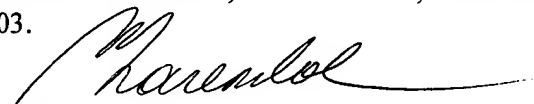
2152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Collins
APPLICATION NO.: 09/866,374 GROUP NO.: 2152
FILING DATE: May 25, 2001 EXAMINER: Not Yet Assigned
TITLE: Adapting Graphical Data, Processing Activity To Changing Network Conditions

CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence, and any document(s) referred to as enclosed herein, is/are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this 3rd day of October, 2003.


Irja Zarembok

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Submitted herewith are:

Transmittal Form (1 pg.);
Third Supplemental Information Disclosure Statement (2 pgs.);
Third Supplemental Form PTO-1449 (3 pgs.);
Copies of the Cited References (A57-A118, B12-B15; C9-C15); and
Return Receipt Postcard.

2691065



TRANSMITTAL FORM

Application Serial Number	09/866,374
Filing Date	May 25, 2001
First Named Inventor	Collins
Group Art Unit	2152
Examiner Name	Not Yet Assigned
Attorney Docket No.	CTX-070
Patent No.	Not applicable
Issue Date	Not applicable

RECEIVED

OCT 08 2003

Technology Center 2100

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Check Attached <input type="checkbox"/> Copy of Fee Transmittal Form	<input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)	<input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences
<input type="checkbox"/> Amendment/Response <input type="checkbox"/> Preliminary <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____]	<input type="checkbox"/> Formal Drawing(s)	<input type="checkbox"/> Appeal Brief (in triplicate)
<input type="checkbox"/> Petition for Extension of Time	<input type="checkbox"/> Request For Continued Examination (RCE) Transmittal	<input type="checkbox"/> Status Inquiry
<input checked="" type="checkbox"/> Third Supplemental Information Disclosure Statement (2 pgs.) <input checked="" type="checkbox"/> Third Supplemental Form PTO-1449 (3 pgs.) <input checked="" type="checkbox"/> Copies of the Cited References (A57-A118; B12-B15; C9-C15)	<input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)	<input checked="" type="checkbox"/> Return Receipt Postcard
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8
<input type="checkbox"/> Sequence Listing submission <input type="checkbox"/> Paper Copy/CD <input type="checkbox"/> Computer Readable Copy <input type="checkbox"/> Statement verifying identity of above	<input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application	<input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8
	<input type="checkbox"/> Small Entity Statement	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
	<input type="checkbox"/> CD(s) for large table or computer program	
	<input type="checkbox"/> Amendment After Allowance	
	<input type="checkbox"/> Request for Certificate of Correction <input type="checkbox"/> Certificate of Correction (in duplicate)	

CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100

SIGNATURE BLOCK

Respectfully submitted,

Date: October 3, 2003
Reg. No. 50,389
Tel. No.: (617) 248-7000
Fax No.: (617) 248-7100
Robert S. Blasi, Esq.
Attorney for the Applicant
Testa, Hurwitz & Thibault, LLP
High Street Tower
125 High Street
Boston, MA 02110



RECEIVED
OCT 08 2003
Technology Center 2100

PATENT
Attorney Docket No. CTX-070
(1545/123)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Collins
APPLICATION NO.: 09/866,374 GROUP NO.: 2152
FILING DATE: May 25, 2001 EXAMINER: Not Yet Assigned
TITLE: Adapting Graphical Data, Processing Activity To Changing Network Conditions

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

THIRD SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with the provisions of 37 C.F.R. 1.97 and 1.98, Applicant hereby makes of record the patents and publications listed on the accompanying Third Supplemental Form PTO-1449, and other information contained herein, for consideration by the Examiner in connection with the examination of the above-identified patent application. Copies of the patents and publications (A57-A118; B12-B15; C9-C15) are enclosed.

REMARKS

In accordance with the provisions of 37 C.F.R. 1.97, this statement is being filed (CHECK ONE):

- ☒ (1) within three (3) months of the **filing date** of a national application other than a continued prosecution application under 37 C.F.R. 1.53(d), or within three (3) months of the **date of entry of the national stage** as set forth in 37 C.F.R. 1.491 in an international application, or before the mailing of the **first Office action** on the merits, or before the mailing of a **first Office action** after the filing of a request for continued examination under 37 C.F.R. 1.114; or
- ☐ (2) after the period defined in (1) but before the mailing date of a **final action** or a **notice of allowance** under 37 C.F.R. 1.311, and
- ☐ the requisite Statement is below, **OR**

- ☐ the requisite fee under 37 C.F.R. 1.17(p), namely **\$180.00**, is included herein, or
- ☐ (3) after the mailing date of a **final action** or **notice of allowance** but before the payment of the **issue fee**, **AND**
- ☐ the requisite Statement is below, **AND**
- ☐ the requisite petition fee under 37 C.F.R. 1.17(p), namely **\$180.00** is included herein.

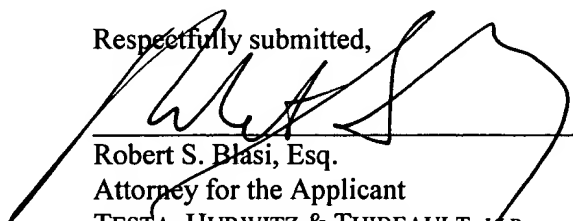
It is respectfully requested that each of the patents and publications listed on the attached Form PTO-1449, and other information contained herein, be made of record in this application.

STATEMENT

As required under 37 C.F.R. 1.97(e), Applicant(s), through the undersigned, hereby state either that

- ☐ 1. Each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application **not more than three months** prior to the filing of the Information Disclosure Statement; or
- ☐ 2. No item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing this Statement after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to **any individual** designated in 37 C.F.R. 1.56(c) **more than three months** prior to the filing of the Information Disclosure Statement.

Respectfully submitted,



Robert S. Blasi, Esq.
Attorney for the Applicant
TESTA, HURWITZ & THIBEAULT, LLP
High Street Tower
125 High Street
Boston, Massachusetts 02110

Date: October 3, 2003
Reg. No. 53,389

Tel. No.: (617) 248-7097
Fax No.: (617) 248-7100

2691377



THIRD SUPPLEMENTAL FORM PTO - 1449

ATTORNEY DOCKET NO.: CTX-070 (1545/123)

APPLICANT: Collins

SERIAL NO.: 09/866,374

FILING DATE: May 25, 2001

GROUP: 2152

RECEIVED

OCT 08 2003

Technology Center 2100

U.S. PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	A57	Re.32,632	3/29/88	Atkinson	340	709	7/19/82
	A58	3,596,257	7/27/71	Patel et al.	340	172.5	9/17/69
	A59	4,322,795	3/30/82	Lange et al.	364	200	1/24/80
	A60	4,395,754	7/26/83	Feissel	364	200	8/22/80
	A61	4,430,712	2/7/84	Coulson et al.	364	300	11/27/81
	A62	4,503,501	3/5/81	Coulson et al.	364	300	11/15/82
	A63	4,691,281	9/1/87	Furui	364	200	4/13/84
	A64	4,701,871	10/20/87	Sasaki et al.	364	718	10/1/84
	A65	4,860,247	8/22/89	Uchida et al.	364	900	2/18/87
	A66	4,903,218	2/20/90	Longo et al.	365	521	8/13/87
	A67	4,905,141	2/27/90	Brenza	364	200	10/25/88
	A68	4,937,036	6/26/90	Beard et al.	340	706	3/21/88
	A69	4,949,281	8/14/90	Hillenbrand et al.	364	518	4/19/88
	A70	4,958,303	9/18/90	Assarpour et al.	364	521	5/12/88
	A71	5,072,412	12/10/91	Henderson, Jr. et al	395	159	3/25/87
	A72	5,103,303	4/7/92	Shoji et al.	358	75	2/13/91
	A73	5,231,697	7/27/93	Yamada	395	142	4/20/90
	A74	5,233,701	8/3/93	Nakata	395	425	3/29/89
	A75	5,269,003	12/7/93	Roskowski et al.	395	166	10/21/92
	A76	5,305,440	4/19/94	Morgan et al.	395	200	6/12/92
	A77	5,309,555	5/3/94	Akins et al.	395	157	5/14/92
	A78	5,339,411	8/16/94	Heaton, Jr.	395	600	10/22/93
	A79	5,345,588	9/6/94	Greenwood et al.	395	650	9/17/92
	A80	5,347,578	9/13/94	Duxbury	380	4	2/24/93
	A81	5,351,129	9/27/94	Lai	348	584	3/24/92
	A82	5,357,623	10/18/94	Megory-Cohen	395	425	11/1/91



THIRD SUPPLEMENTAL FORM PTO - 1449

ATTORNEY DOCKET NO.: CTX-070 (1545/123)

APPLICANT: Collins

SERIAL NO.: 09/866,374

FILING DATE: May 25, 2001

GROUP: 2152

RECEIVED

OCT 08 2003

Technology Center 2100

U.S. PATENT DOCUMENTS

	A83	5,390,318	2/14/95	Ramakrishnan et al.	395	425	5/16/94
	A84	5,394,531	2/28/95	Smith	395	425	11/18/91
	A85	5,414,457	5/9/95	Kadowaki et al.	348	14	12/26/91
	A86	5,414,704	5/9/95	Spinney	370	60	4/5/94
	A87	5,426,752	6/20/95	Takahasi et al.	395	400	4/25/94
	A88	5,434,992	7/18/95	Mattson	395	425	9/4/92
	A89	5,455,576	10/3/95	Clark, II et a.	341	50	2/7/94
	A90	5,469,540	11/21/95	Powers, III et al.	395	158	1/27/93
	A91	5,491,808	2/13/96	Geist et al.	395	427	9/30/92
	A92	5,537,635	7/16/96	Douglas	395	250	4/4/94
	A93	5,561,786	10/1/96	Morse	395	497.01	8/24/94
	A94	5,579,469	11/26/96	Pike	395	326	10/19/95
	A95	5,579,507	11/26/96	Hosouchi et al.	395	497.02	8/5/93
	A96	5,588,138	12/24/96	Bai et al.	395	497.04	2/8/96
	A97	5,651,136	7/22/97	Denton et al.	395	445	6/6/95
	A98	5,652,854	7/29/97	Wong	395	416	6/6/95
	A99	5,717,893	2/10/98	Mattson	395	456	5/20/91
	A100	5,729,710	3/17/98	Magee et al.	395	413	6/22/94
	A101	5,757,795	5/26/98	Schnell	370	392	5/20/96
	A102	5,757,925	5/26/98	Faybishenko	380	49	7/23/96
	A103	5,761,734	6/2/98	Pfeffer et al.	711	164	8/13/96
	A104	5,767,849	6/16/98	Borgendale et al.	345	335	8/18/95
	A105	5,771,383	6/23/98	Magee et al.	395	680	2/13/97
	A106	5,835,959	11/10/98	McCool et al.	711	171	12/1/95
	A107	5,850,632	12/15/98	Robertson	711	170	9/6/96
	A108	5,874,960	2/23/99	Mairs et al.	345	340	7/5/95
	A109	5,877,757	3/2/99	Baldwin et al.	345	336	5/23/97
	A110	5,913,230	6/15/99	Richardson	711	203	3/6/97



THIRD SUPPLEMENTAL FORM PTO - 1449

ATTORNEY DOCKET NO.: CTX-070 (1545/123)

APPLICANT: Collins

SERIAL NO.: 09/866,374

FILING DATE: May 25, 2001

GROUP: 2152

RECEIVED

OCT 08 2003

Technology Center 2100

U.S. PATENT DOCUMENTS

	A111	5,944,791	8/31/99	Scherpbier	709	218	10/4/96
	A112	5,949,975	9/7/99	Batty et al.	395	200.43	3/12/97
	A113	5,999,179	12/7/99	Kekic et al.	345	349	11/17/97
	A114	5,999,950	12/7/99	Krueger et al.	707	535	8/11/97
	A115	6,016,535	1/18/00	Krantz et al.	711	171	10/11/95
	A116	6,023,749	2/8/00	Richardson	711	203	1/25/99
	A117	6,034,689	3/7/00	White et al.	345	357	6/3/96
	A118	6,161,126	12/12/00	Wies et al.	709	203	2/2/99

FOREIGN PATENT DOCUMENTS

EXAM. INIT.		DOCUMENT NUMBER	DATE	COUNTRY CODE	CLASS	SUB CLASS	FILING DATE	ABSTRACT ONLY	ENGLISH LANG (Y/N)
	B12	2136171A1	9/12/84	GB	8	00	1/9/84	N	Y
	B13	0836145 A2	4/15/98	EP	17	30	10/1/97	N	Y
	B14	06125363	5/6/94	JP	12	56	10/14/92	Y	Y
	B15	97/28623	8/7/97	WO	9	455	1/15/97	Y	Y

OTHER ART, JOURNAL ARTICLES, ETC.

EXAM. INIT.	OTHER DOCUMENTS: (Including Author, Title, Date, Relevant Pages, Place of Publication)	
	C9	European Search Report, Application No. EP 03 00 5806.6, 3 pgs.
	C10	European Search Report, Application No. EP03 00 5803.4, 3 pgs.
	C11	European Search Report, Application No. EP03 00 5804.1, 3 pgs.
	C12	European Search Report, Application No. EP03 00 5805.9, 3 pgs.
	C13	IBM Technical Disclosure Bulletin, "Mechanisms for Finding Substitute Fonts in a Conference-Enabled X Windows Application", Vol. 41, No. 01, Jan. 1998 pgs. 137-142.
	C14	IBM Technical Disclosure Bulletin, "Remote Desktop Environments Reflected in Local Desktop Windows", Vol. 36 No. 3, March 1993, pgs. 421-426
	C15	WebTV, "WebTV System Guide", http://developer.webtv.net/authoring/sysgde/sysgde.html , 9/13/00, pgs. 1-12

EXAMINER

DATE CONSIDERED